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# Investigation on a NURBS based approach to modelling and analysis of freeform surfaces with the application to design and ultraprecision machining of vari-focal lenses

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# Presentation Outline

- Research background
- NURBS based approach to freeform surface modelling and construction
- Integrated digital assessment and its implementation
- Ultraprecision machining trials
- Concluding remarks

# Research background

## - Freeform surfaced devices and applications

### Medical and Ophthalmics

- Assistive Technologies
- Ophthalmic and Microscopy

### Mobile Displays

- Near-eye
- Head-worn
- Smart glass

### Transportation

- Heads-up displays (HUDs)



# NURBS based approach to freeform surface design and 3D model construction/manufacturing

Freeform surface modelling and analysis

Freeform surfaced component and 3D model construction

Quality assurance  
- Form accuracy  
- 3D surface parameters  
- Functionality

Ultraprecision machining

## NURBS based integration approach and its implementation perspectives

- To develop the NURBS based integrated approach for design and modelling of freeform surfaced components and devices.
- To design the engineering-feasible quality assessment strategy for freeform surfaces in the aspects of both functional and surface topography.
- To investigate ultraprecision machining of freeform surface and the underlying issues in processes and the process chain.

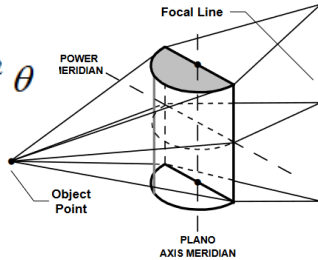
# Vari-focal lens surface modelling/construction

Spherical Power Formula

$$F_S = \frac{n' - n}{r}$$

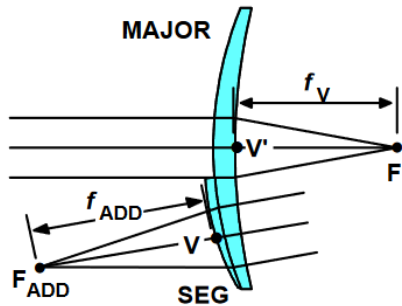
Cylinder Power Formula

$$F_\theta = F_{SPH} + C \cdot \sin^2 \theta$$



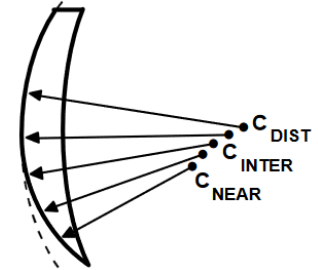
Additional Power Formula

$$\text{Near - Power} = \frac{(n - 1) * 1000}{\text{Sph} + \text{Add}}$$

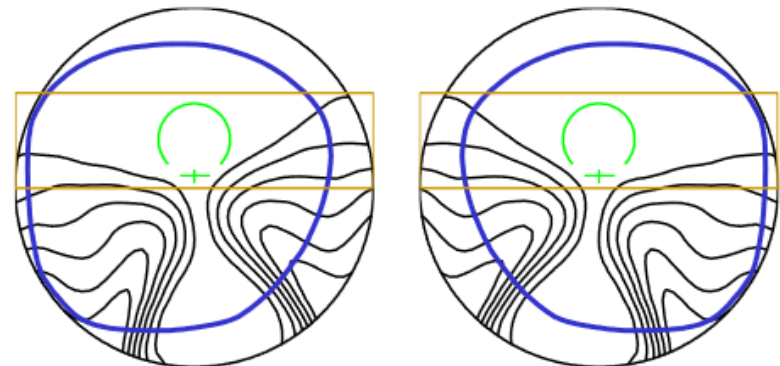


Lens Construction Formula

$$\frac{1}{r(u)} = \frac{1}{r_F} + \left( \frac{1}{r_N} - \frac{1}{r_F} \right) \sum_{i=m}^{m+l-1} c_i (u + L)^i$$



Lens Surface Design Plot



# Advance algorithm for lens surface design

## Prescription

## Algorithm for surface design

## Data point

Prescription
SPH
CYL
ADD



```

Algorithm 1: Radius of curvature of an arbitrary point of lens surface
1 Function u Calculation:
   Input: h, L
2    $p = x - \frac{h}{2} + L$ 
3    $g = \left(\frac{1}{2}\right) \left(p + \frac{y^2 + h^2/4}{p}\right)$ 
4    $u = \frac{h}{2} - L + g - (\text{sgn } p) \left(g^2 - \frac{h^2}{4}\right)^{\frac{1}{2}}$ 
5   return u
6
7 Def Cn:
8    $c_1 = c_2 = c_3 = c_4 = 0$ 
9    $c_5 = 56/h^5$ 
10   $c_6 = -140/h^6$ 
11   $c_7 = 120/h^7$ 
12   $c_8 = -35/h^8$ 
13
14 Function Main:
   Input: Rd, Rr, Cn
15
16 for i in 1:8 do
17    $\frac{1}{r(u)} = \frac{1}{r_D} + \left(\frac{1}{r_R} - \frac{1}{r_D}\right) \sum_1^i c_i(u + L)$ 
18
19 return  $\frac{1}{r(u)}$ 
    
```

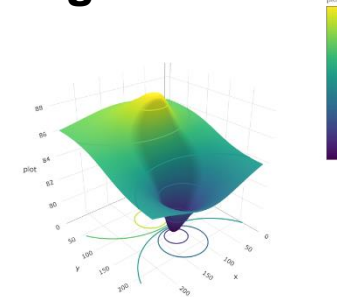


```

-40.000 -40.000 18.703617223142
-40.000 -39.750 18.557064263439
-40.000 -39.500 18.412118478382
-40.000 -39.250 18.268965825744
-40.000 -39.000 18.127790027962
-40.000 -38.750 17.988773092621
-40.000 -38.500 17.852093057227
-40.000 -38.250 17.717916161267
-40.000 -38.000 17.586189147990
-40.000 -37.750 17.456610238039
-40.000 -37.500 17.328870145372
-40.000 -37.250 17.202666579247
-40.000 -37.000 17.077738427256
-40.000 -36.750 16.954044096976
    
```



## Designed surface



### u Calculation

$$p = x - \frac{h}{2} + L$$

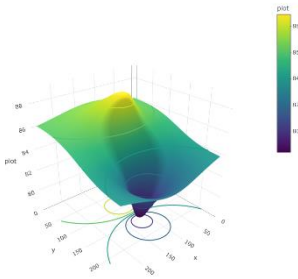
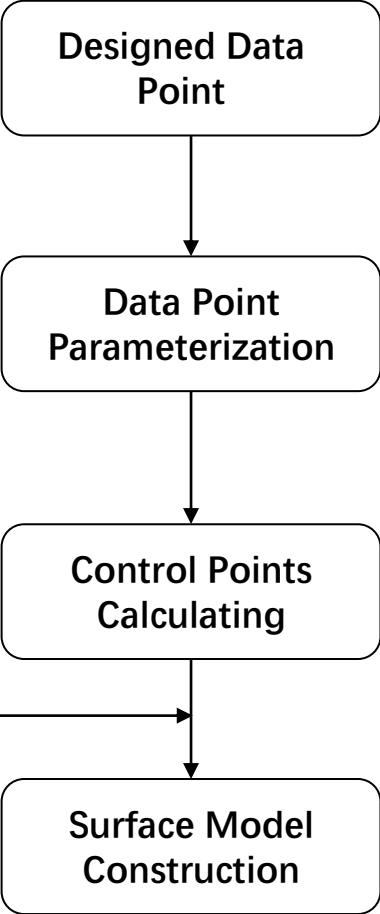
$$g = \left(\frac{1}{2}\right) \left(p + \frac{y^2 + h^2/4}{p}\right)$$

$$u = \frac{h}{2} - L + g - (\text{sgn } p) \left(g^2 - \frac{h^2}{4}\right)^{\frac{1}{2}}$$

$h$  = Vertical distance between distant point to near point

$L$  = Vertical distance between distant point to prism point

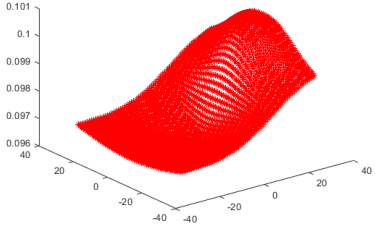
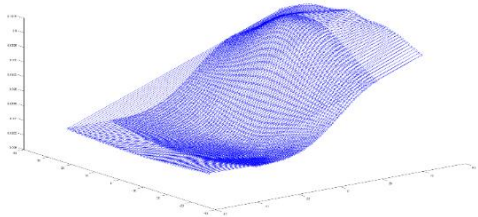
# Digital model construction and digital/virtual lens



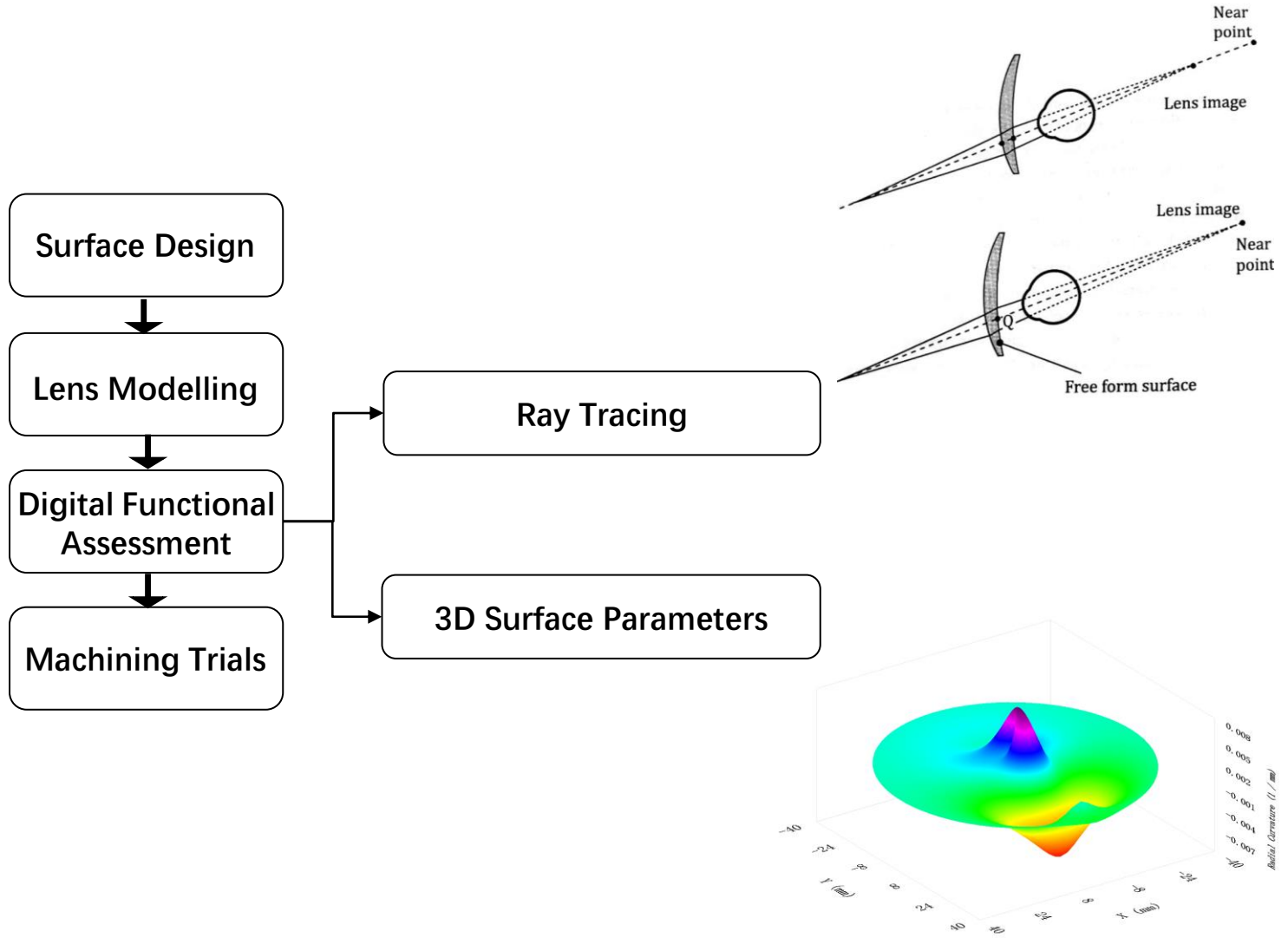
$$k_i = 1 + \frac{3}{2} \left( \frac{|\Delta_{p_{i-2}}| \theta_{i-1}}{|\Delta_{p_{i-2}}| + |\Delta_{p_{i-1}}|} + \frac{|\Delta_{p_i}| \theta_i}{|\Delta_{p_{i-1}}| + |\Delta_{p_i}|} \right)$$

NURBS Equation

$$p(u, v) = H\{p(u, v)\} = H \left\{ \sum_{i=0}^m \sum_{j=0}^n d_{i,j} N_{i,k}(u) N_{j,l}(v) \right\}$$



# Integrated digital assessment and implementation





# Functional assessment

- ISO Standard combining with RMS value

1 of 3

## ISO Standard

**Table 1 — Tolerances on the focal power of lenses**  
Values in dioptres<sup>(1)</sup>

Power of principal meridian with higher absolute focal power	Tolerance on the focal power of each principal meridian, $f$	Tolerance on the absolute cylindrical power $\rho$			
		> 0.00 and < 0.75	> 0.75 and < 4.00	> 4.00 and < 6.00	> 6.00
≥ 0.00 and < 3.00	± 0.12	± 0.09	± 0.12	± 0.18	—
> 3.00 and < 6.00	± 0.12	± 0.12	± 0.12	± 0.18	± 0.25
> 6.00 and < 9.00	± 0.12	± 0.12	± 0.18	± 0.18	± 0.25
> 9.00 and < 12.00	± 0.18	± 0.12	± 0.18	± 0.25	± 0.25
> 12.00 and < 20.00	± 0.25	± 0.18	± 0.25	± 0.25	± 0.25
> 20.00	± 0.37	± 0.25	± 0.25	± 0.37	± 0.37

**Table 2 — Tolerances on the direction of cylinder axis**

Absolute cylindrical power $D$	< 0.50	> 0.50 and < 0.75	> 0.75 and < 1.50	> 1.50
Tolerance on the axis $\alpha$	± 7	± 5	± 3	± 2

**Table 3 — Tolerances on the addition power for multifocal lenses**  
Values in dioptres

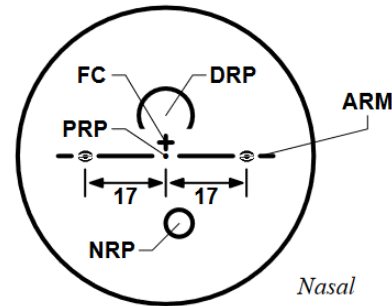
Value of the addition power	< 4.00	> 4.00
Tolerance	± 0.12	± 0.18

**Table 4 — Prismatic tolerance**  
Values in prism dioptres

Prismatic power	Lenses		
	Single vision	Horizontal Multifocal	Vertical
≥ 0.00 and < 2.00	±(0.25 + 0.1 × S <sub>max</sub> )	±(0.25 + 0.1 × S <sub>max</sub> )	±(0.25 + 0.05 × S <sub>max</sub> )
> 2.00 and < 10.00	±(0.37 + 0.1 × S <sub>max</sub> )	±(0.37 + 0.1 × S <sub>max</sub> )	±(0.37 + 0.05 × S <sub>max</sub> )
> 10.00	±(0.50 + 0.1 × S <sub>max</sub> )	±(0.50 + 0.1 × S <sub>max</sub> )	±(0.50 + 0.05 × S <sub>max</sub> )

NOTE: S<sub>max</sub> is the focal power, in dioptres, in the meridian of higher absolute power.

## Three Reference Points



# Functional assessment

- ISO Standard combining with RMS value

1 of 3

ISO Standard

**Table 1 — Tolerances on the focal power of lenses**  
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> 0.00 and < 3.00	± 0.12	± 0.09	± 0.12	± 0.18	—
> 3.00 and < 6.00	± 0.12	± 0.12	± 0.12	± 0.18	± 0.25
> 6.00 and < 9.00	± 0.12	± 0.12	± 0.18	± 0.18	± 0.25
> 9.00 and < 12.00	± 0.18	± 0.12	± 0.18	± 0.25	± 0.25
> 12.00 and < 20.00	± 0.25	± 0.18	± 0.25	± 0.25	± 0.25
> 20.00	± 0.37	± 0.25	± 0.25	± 0.37	± 0.37

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**Table 3 — Tolerances on the addition power for multifocal lenses**  
Values in dioptres

Value of the addition power	< 4.00	> 4.00
Tolerance	± 0.12	± 0.18

**Table 4 — Prismatic tolerance**  
Values in prism dioptres

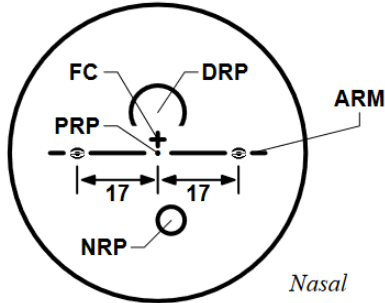
Prismatic power	Lenses		
	Single vision	Multifocal Horizontal	Multifocal Vertical
> 0.00 and < 2.00	±(0.25 + 0.1· $S_{max}$ )	±(0.25 + 0.1· $S_{max}$ )	±(0.25 + 0.05· $S_{max}$ )
> 2.00 and < 10.00	±(0.37 + 0.1· $S_{max}$ )	±(0.37 + 0.1· $S_{max}$ )	±(0.37 + 0.05· $S_{max}$ )
> 10.00	±(0.50 + 0.1· $S_{max}$ )	±(0.50 + 0.1· $S_{max}$ )	±(0.50 + 0.05· $S_{max}$ )

NOTE:  $S_{max}$  is the focal power, in dioptres, in the meridian of higher absolute power.

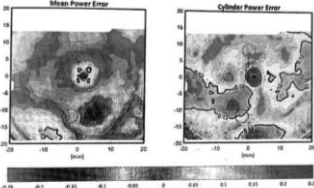
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Ray Tracing

Three Reference Points



Lens assessment result



DRP		NRP				Prism					
Theoretical	Measured	Theoretical	Measured	Theoretical	Measured	Theoretical	Measured				
H	C	H	C	H	C	Prism	Axis				
0.04	-0.01	0.00	-0.04	2.17	-0.35	2.12	-0.37	0.48	270	0.46	277

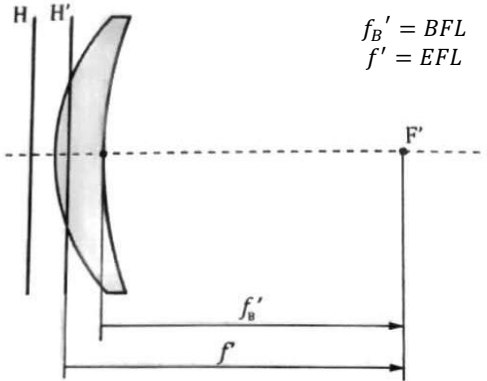
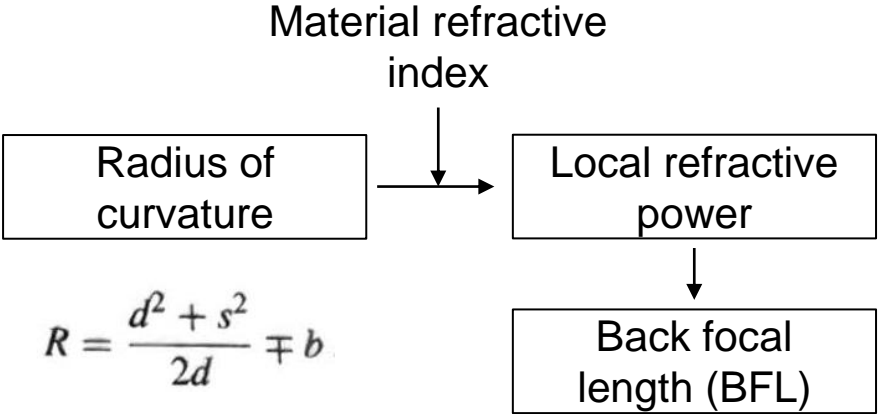
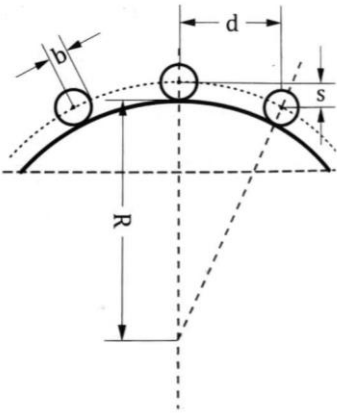
Root-Mean-Square Deviation

$$S_q = \sqrt{\frac{1}{MN} \sum_{j=1}^N \sum_{i=1}^M \eta^2(x_i, y_j)}$$

# Functional assessment

- Ray tracing algorithms

2 of 3



# Functional assessment

## - 3D surface parameters

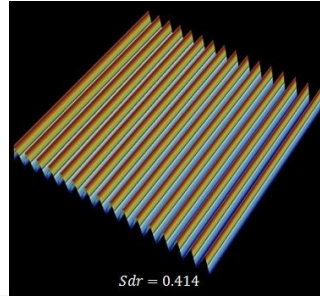
3 of 3

### Hybrid Parameters

$S_{dr}$

Developed interfacial area ratio

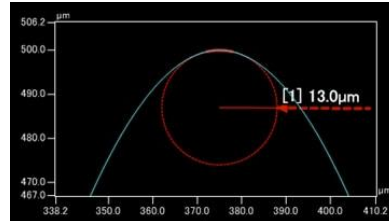
$$\frac{\sum_{j=1}^{N-1} \sum_{i=1}^{M-1} A_{ij} - (M-1)(N-1)\Delta x \cdot \Delta y}{(M-1)(N-1)\Delta x \cdot \Delta y}$$



$S_{sc}$

Arithmetic mean summit curvature of a surface

$$S_{sc} = -\frac{1}{2} \frac{1}{n} \sum_{k=1}^n \left( \frac{\partial^2 z(x, y)}{\partial x^2} + \frac{\partial^2 z(x, y)}{\partial y^2} \right)$$

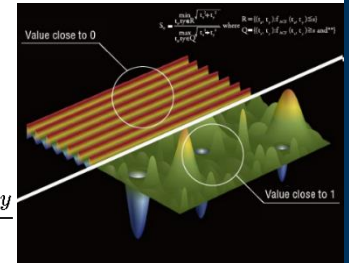


### Spatial Parameters

$S_{tr}$

Texture aspect ratio

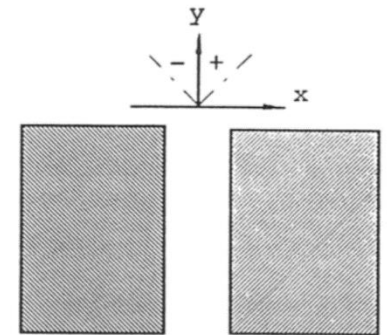
$$f_{ACF}(t_x, t_x) = \frac{\iint_A z(x, y)z(x - t_x, y - t_x) dx dy}{\iint_A z(x, y)z(x, y) dx dy}$$



$S_{td}$

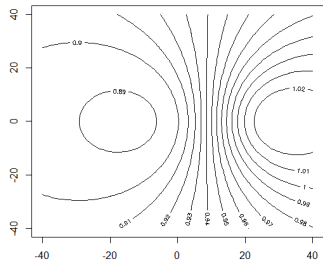
Texture direction

$$S_{td} \begin{cases} -\beta, & \beta \leq \frac{\pi}{2} \\ \pi - \beta, & \frac{\pi}{2} < \beta \leq \pi \end{cases}$$

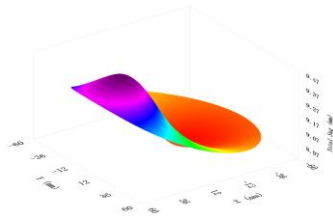


# Ultraprecision machining

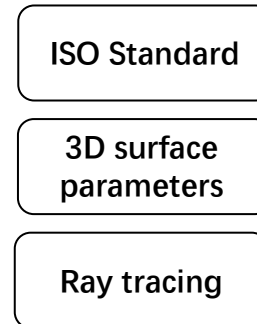
Lens Surface Design



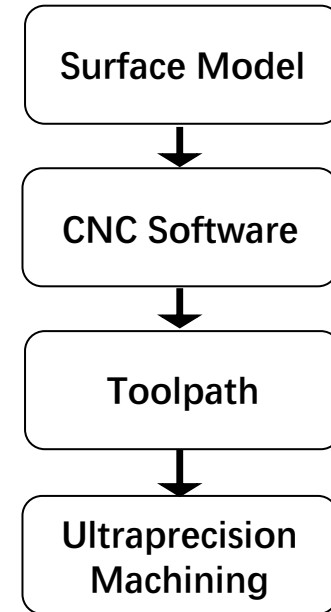
Lens Model Construction



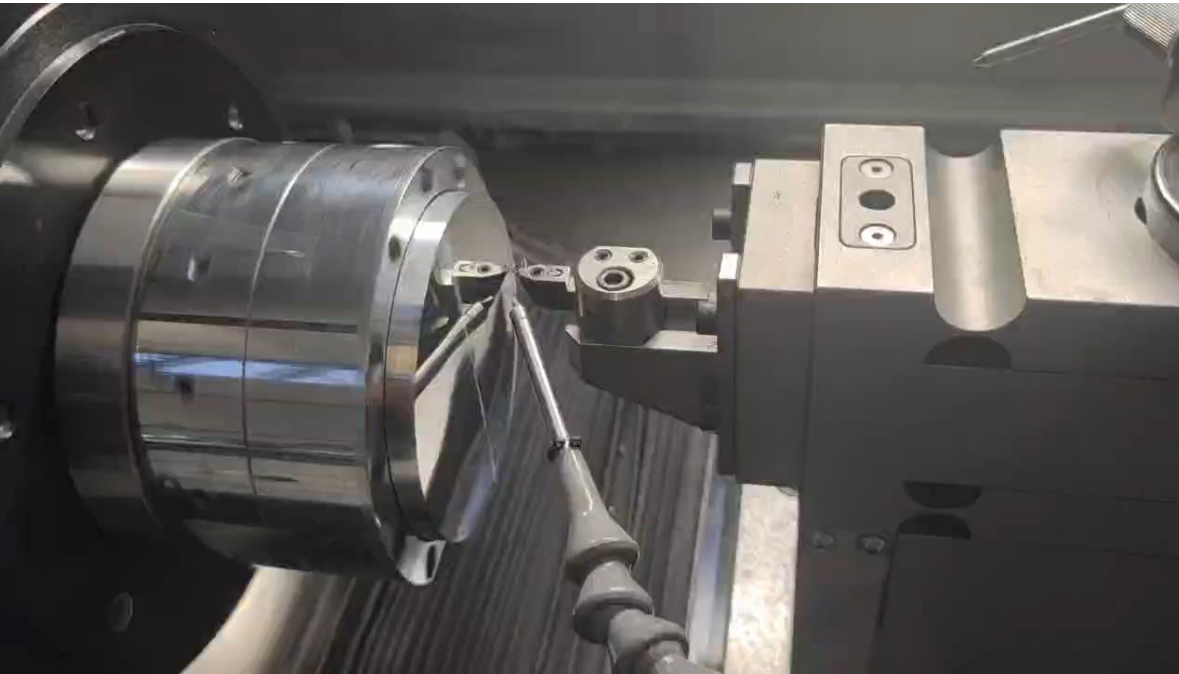
Functional Assessment



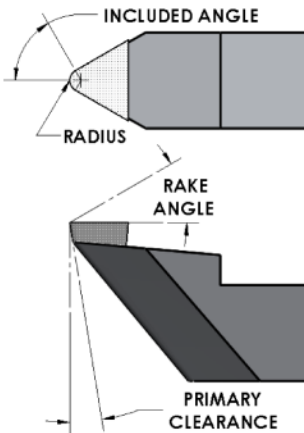
## Integrated 'digital' process



# Ultraprecision machining trials



- Diamond turning machine (UPL250)
- Diamond cutting tools:
  - Tool radius (mm): 0.335
  - Included angle (deg): 60.0°
  - Rake angle (deg): 0°
  - Primary Clearance: 15.0°
- Materials: Al and PMMA
- Process: slow tool servo (STS) mode
- Radial feed(mm/rev): 0.1
- Total cycle time: 9.1 mins



# Concluding remarks

- High precision and personalized design and manufacturing
- Integrated digital lens assessment and quality assurance
- Ultraprecision machining trials - evaluation and validation of the NURBS-based integration approach

**Thank you!**