

## Influence of micro-cracks using optical micro-resonator processing of single-crystal calcium fluoride, manufactured by ultra-precision cylindrical turning

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### Abstract

Optical signal circuits can realize ultimately efficient signal processing. Optical micro-resonators, which can localize light at certain spots, are important parts in terms of achieving the circuits. From the perspective of absorption rates, single-crystal calcium fluoride (CaF<sub>2</sub>) is the most suitable material for highly efficient optical micro-resonators, and ultra-precision cylindrical turning (UPCT) is a feasible fabrication process for CaF<sub>2</sub> optical-micro resonators. However, CaF<sub>2</sub> is characterized by brittleness and crystal anisotropy; therefore, it is necessary to prevent micro-cracks in UPCT because such cracks would lead to light scattering and resonator performance deteriorations. In this study, rectangular optical micro-resonators are manufactured by UPCT, and the influence that micro-cracks have on resonator performance is experimentally investigated.

Single crystal calcium fluoride, optical micro-resonator, ultra-precision cylindrical turning

### 1. Introduction

To reduce the energy loss caused by Joule heating in electric signal circuits, the circuits should be replaced with optical ones. Optical micro-resonators, which can localize light at certain spots, are essential parts in optical signal processing. Although Silica or SiNb<sub>3</sub> are conventionally used for optical micro-resonators [1], single-crystal calcium fluoride (CaF<sub>2</sub>) is the most suitable material for high Q-factor optical micro-resonators [2]. In terms of the manufacturing of high Q-factor optical micro-resonators, they must be satisfactory with regard to form accuracy, surface roughness and single crystal. Etching is an inadequate approach for obtaining bulge-shaped resonators because of crystal anisotropy; therefore, ultra-precision cylindrical turning (UPCT) is the most feasible fabrication process. However, CaF<sub>2</sub> is characterized by brittleness and crystal anisotropy. Hence, it is necessary to conduct ductile-mode cutting to make a surface without any cracks, taking into account the crystal anisotropy. In a previous study, the UPCT performance of CaF<sub>2</sub> had been investigated to determine the most appropriate cutting condition in terms of crystallography [3]. In this paper, optical micro-resonators were manufactured by UPCT, and the influence that micro-cracks have on resonator performance was experimentally evaluated.

### 2. Experimental procedure

#### 2.1. Experimental set-up

The UPCT of CaF<sub>2</sub> was carried out by the ultra-precision 5-axes machine tool (ROBONANO  $\alpha$ -OiB, FANUC Co., Ltd). A CaF<sub>2</sub> workpiece (6 mm diameter, 35 mm length) with an end face orientation of (100) plane was prepared. Figure 1 shows the experimental set-up of UPCT. A workpiece, fixed on a brass jig with wax, was mounted onto a collet chuck. For the production

of optical micro-resonators, two single point diamond tools were used, as well as a nose straight diamond tool. The specifications are listed in Table 1, and Figure 2 shows the appearance of tool #3.

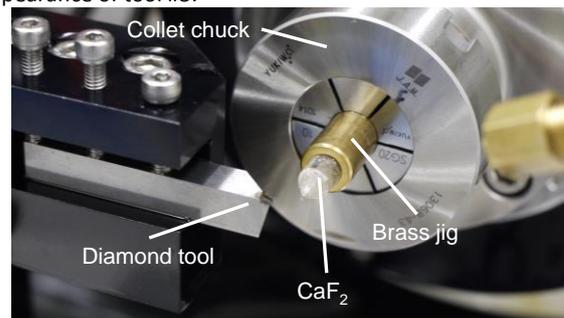


Figure 1. Experimental set-up

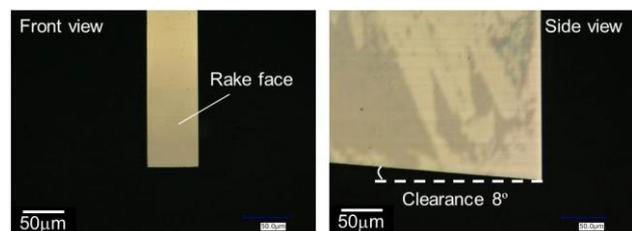


Figure 2. Nose straight diamond tool

Table 1 Specification of cutting tool

Diamond tool geometry	Tool #1	Tool #2	Tool #3
Nose radius/mm	0.2	0.05	-
Rake angle /°	-20	0	0
Cutting edge angle on rake face /°	40	40	90

## 2.2. Fabrication process of resonators

Figure 3 shows an electromagnetic field analysis of the rectangle resonator, which can store light in a rectangular area. Figure 4 illustrates a fabrication flow of a micro rectangle-shaped resonator:

1) First, brittle-mode rough cutting was carried out with tool #1 to achieve the pen-shape as in Figure 4 (b) with around a 0.54 mm diameter under the following cutting conditions: 1000  $\text{min}^{-1}$  rotational speed, 20  $\mu\text{m}/\text{rev}$  feed per revolution, and 2  $\mu\text{m}$  depth of cut.

2) Secondly, finish cutting was carried out with tool #2 to make a crack-less surface until around a 0.52 mm diameter was achieved. The cutting conditions are: 1000  $\text{min}^{-1}$  rotational speed, 0.1  $\mu\text{m}/\text{rev}$  feed per revolution, and 50 nm depth of cut.

3) As shown in Figure 4 (c), the  $\text{CaF}_2$  was removed with tool #2 by applying the diagonal tool path under the same condition. Then, a bulged-shape as in Figure 4 (d) was manufactured.

4) As shown in Figure 4 (e), the  $\text{CaF}_2$  was removed with tool #3 by moving the tool path under the same condition, except for the feed per revolution. The tool was fed manually by 1 nm at the feed rate of less than 0.01 mm/min.

5) Finally, the rectangular-shaped resonator as in Figure 4(f) was obtained.

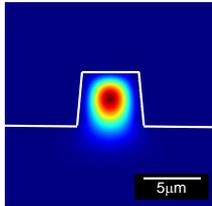


Figure 3. Electromagnetic field analysis of rectangle resonator

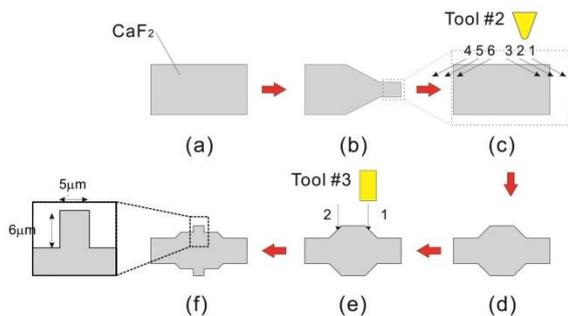


Figure 4. Fabrication flow of resonators

After the UPCT, the optical micro-resonator was cleaned by alkaline agents and ultrapure water to remove the cutting fluid and cutting chip. After drying the resonator with a heater, the resonator performance was evaluated.

## 3. Results and discussion

Figure 5 shows the appearance of the resonator manufactured by UPCT and the magnified image of the rectangular-shaped portion based on optical microscopy. The diameter of the resonator was around 530  $\mu\text{m}$ , no crack existed throughout the whole cylindrical surface on the resonant part, and its surface roughness  $S_a$  was around 2 nm. Figure 6 (a) shows the picture of the resonant part that resulted from scanning white light interferometry microscopy, and the rectangle resonator (Width 5  $\mu\text{m}$   $\times$  Height 6  $\mu\text{m}$ ) was obtained. The resonator performance was measured by using a wavelength-variable laser (Santec TLS-510, 1pm wavelength resolution). Figure 6 (b) shows the transmission spectrum corresponding to the wavelength. The peak indicates a resonance point where the transmission spectrum temporarily becomes low as a result of the resonator absorbing its resonant

wavelength at 1542.803 nm. The quality of an optical micro-resonator is generally characterized by Q-factor, which is given by Eq. (1).

$$Q = \lambda / \Delta\lambda \quad (1)$$

Where  $\lambda$  is a resonant wavelength,  $\Delta\lambda$  is a full width at half maximum. Light localization time  $\tau$  is calculated by Eq. (2).

$$\tau = Q / 2\pi f \quad (2)$$

Where  $f$  is a resonant frequency. A  $1.2 \times 10^6$  Q-factor and the time of localizing light 0.98 ns were obtained. This result means that light moved through the resonator around 87 times. Figure 7 shows the resonator with a micro-crack. A  $4.0 \times 10^4$  Q-factor and a 0.04 ns light localization time were obtained. This result shows that light moved through the resonator around 2 times; therefore, it indicates that light scattered from the micro-crack on the resonator, and the influence of the micro-crack was a crucial factor on the resonator performance.

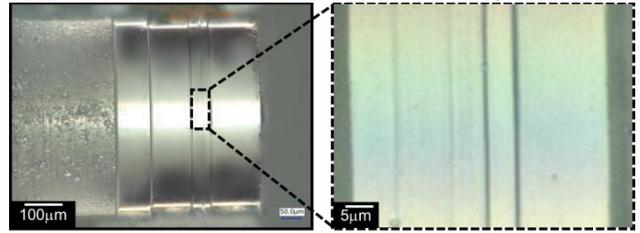


Figure 5. (a) Appearance of resonator (b) Expanded resonant part

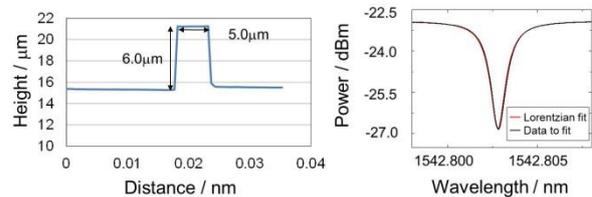


Figure 6. (a) Shape of rectangle resonator (b) Transmission spectrum of the  $\text{CaF}_2$  resonator

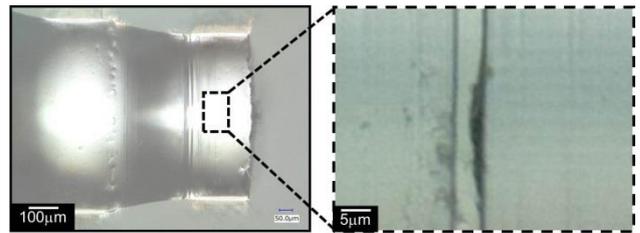


Figure 7. Optical micro-resonator with micro-crack

## 4. Conclusion

The present paper shows the manufacturing of a  $\text{CaF}_2$  rectangle optical micro-resonator with a Q-factor of  $1.2 \times 10^6$  and without any significant crack. In addition, the influence of a micro-crack on resonator performance is investigated, and this leads to the finding that a micro-crack greatly deteriorates resonator performance. Future work will investigate the influence that resonator shapes have on resonator.

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## References

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